

DATE: January 14, 2019

CIRCULARS

RBI

- Gold Monetization Scheme, 2015
- Basel III Capital Regulations- Review of transitional arrangements
- Exclusion of "SBM Bank (Mauritius) Ltd." from the Second Schedule of the Reserve Bank of India Act, 1934

NSE

- SEBI Order in the matter of Babanraoji Shinde Sugar & Allied Industries Limited.
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure (ASM)
- Transaction charges for Commodity Derivatives Segment
- Automated operating price range flexing for option contracts
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- in the matter of Golden Pariwar Holding and Developers India Limited
- Review of Risk management framework for Equity Derivatives Segment

BSE

- Interim Order Cum SCN in the matter of Babanraoji Shinde Sugar & Allied Industries Limited
- Mock Trading Schedule for Offer to Buy and Offer to Sale Issues
- Applicability of Additional Surveillance Measure
- SEBI directions w.r.t. listed Shell Companies – Update
- Commencement of business by Trading Member – Astitva Capital Market Pvt. Ltd. (Clg. No. 6580) in Currency Derivatives segment.
- Download of Penalty Report for modification of Client Codes of non-institutional trades (All Segments)
- Charges for trading with unregistered UCC/UCC without PAN for the month of December, 2018
- Charges for not uploading the IML/BOLT TWS/BOLT PLUS Location information for the month the month of December 2018.
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure

ICEX

- No New Circular

MCX

- Warehouse for Delivery of Cotton Bales
- Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries
- Revised List of Approved Securities, Approved Commodities and Approved Banks

- Revision in Threshold Limits for Concentration Margin
- Warehouse for Delivery of Cotton Bales

SEBI

- Uniform membership structure across segments
- Interim order in the matter of Babanraoji Shinde Sugar & Allied Industries Ltd.
- Adjudication Order in respect of Pragma Commodities Pvt., Ltd., in the matter of Illiquid Stock Options at BSE
- Adjudication order against Prompt Commodities Ltd
- Settlement Order in respect of Patel Airtemp (India) Limited
- Adjudication order in respect of Mr. Rajesh Kumar Gagrani in the matter of Illiquid Stock Options at BSE
- Adjudication Order in respect of 10 entities in the matter of Mindvision Capital Ltd
- Committees at Market Infrastructure Institutions (MIIs)
- Cyber Security and Cyber Resilience framework for Mutual Funds / Asset Management Companies (AMCs)
- Portfolio Concentration Norms for Equity Exchange Traded Funds (ETFs) and Index Funds
- Order in the matter of Hasti Finance Ltd. w.r.t. Ms. Saira A. Shaikh
- Order in the matter of Jaybharat Textiles and Real Estate Limited and KSL and Industries Limited
- Order in the matter of Golden Pariwar Holding and Developers India Limited

NSDL

- No New Circular

NCDEX

- Settlement Price of Options contract expired on January 10 2019
- Settlement Price of Refined Soy Oil Option Contract expired on January 10 2019

- Settlement Price of Options contract expired on January 10 2019

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